

Notice of References CitedApplication No.
09/197,908

Applicant(s)

Herbst et al.

Examiner

Yehdega Retta

Group Art Unit

2764

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	A	5,689,652	11/18/97	Lupien et al.	395	237
	B	4,674,044	6/16/87	Kalmus et al.	364	408
	C	5,870,720	2/9/99	Chusid et al.	705	38
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	N						
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NON-PATENT DOCUMENTS

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	U	Stock Index Futures Contracts and Separability of Returns, Anthony F. Herbst, Nicholas O. Ordway; The Journal of Futures Markets, Vol. 4 No. 1, 87-102	1984
	V	Investigation of a Lead-Lag Relationship between Spot Stock Indices and Their Futures Contracts; Anthony F. Herbst, Joseph P. McCormack, Elizabeth N. West; The Journal of Futures Markets, Vol. 7, No.4, 373-381	1987
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